

(12) United States Patent

Jamison et al.

US 9,169,277 B2 (10) **Patent No.:** (45) **Date of Patent:** Oct. 27, 2015

(54) EPOXIDATION CATALYSTS

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Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35

U.S.C. 154(b) by 0 days.

(21) Appl. No.: 14/174,301

(22)Filed: Feb. 6, 2014

(65)**Prior Publication Data**

US 2014/0155634 A1 Jun. 5, 2014

Related U.S. Application Data

- Continuation of application No. 13/037,060, filed on Feb. 28, 2011.
- (60) Provisional application No. 61/309,067, filed on Mar. 1, 2010.

(51)	Int. Cl.	
	C07D 317/00	(2006.01)
	C07F 7/18	(2006.01)
	C07D 317/20	(2006.01)
	C07D 317/26	(2006.01)
	C07D 493/20	(2006.01)

(52) U.S. Cl.

CPC C07F 7/1844 (2013.01); C07D 317/20 (2013.01); C07D 317/26 (2013.01); C07D

493/20 (2013.01)

(58) Field of Classification Search

CPC C07D 317/20; C07D 317/26 USPC 549/453, 454 See application file for complete search history.

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(57)ABSTRACT

The present invention generally relates to methods for the synthesis of catalysts, including epoxidation catalysts, and related compounds and catalyst compositions. Embodiments described herein may provide efficient processes for providing catalysts (e.g., epoxidation catalysts) in large quantities and using simplified methods.

4 Claims, 2 Drawing Sheets

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FIG. 1A

FIG. 1B

FIG. 2

1 **EPOXIDATION CATALYSTS**

STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH OR DEVELOPMENT

This invention was made with government support under Grant No. R01 GM072566 awarded by the National Institutes of Health. The government has certain rights in this invention.

RELATED APPLICATIONS

This application is a continuation of U.S. patent application Ser. No. 13/037,060, filed Feb. 28, 2011, which claims priority under 35 U.S.C. §119(e) to U.S. Provisional Application Ser. No. 61/309,067, filed Mar. 1, 2010, the contents of which applications are incorporated herein by reference in their entire for all purposes.

FIELD OF THE INVENTION

The present invention generally relates to methods for the synthesis of catalysts, including epoxidation catalysts, and related compositions.

BACKGROUND OF THE INVENTION

Optically active epoxides provide useful building blocks in the synthesis of many biologically active compounds, and much research has been conducted on practical, efficient methods for the synthesis of such epoxides. For example, numerous catalysts have been developed to perform epoxida- 35 tion reactions, in which an alkene is converted to an epoxide by addition of one oxygen atom to a carbon-carbon double bond. In particular, the "Shi Epoxidation," is an enantioselective epoxidation method developed by Prof. Yian Shi and coworkers, which utilizes Shi catalyst 1, shown below.

While the Shi Epoxidation method has been shown to be 55 effective for enantioselective epoxidation reactions, to date, Shi catalyst 1 can only be produced in large quantities as one enantiomer. The absence of the availability of the enantiomer of Shi catalyst 1 has hindered the more widespread use of the Shi Epoxidation.

SUMMARY OF THE INVENTION

The present invention provides methods for synthesizing a 65 catalyst. In one set of embodiments, the method comprises reacting a compound of Formula (I),

(I)

wherein:

 R^1 and R^2 can be the same or different and each can be hydrogen, alkyl, or aryl, provided that when one of R¹ and R² is hydrogen, the other is alkyl or aryl;

R³ is a protecting group;

R⁴ is a protecting group that can be removed in the presence of an acid; and

 R^5 , R^6 , R^7 , and R^8 can be the same or different and each can be hydrogen, alkyl, or aryl, to produce a compound of Formula (II),

$$\begin{array}{c}
\mathbb{R}^{7} \quad \mathbb{R}^{8} \\
\mathbb{R}^{5} \quad \mathbb{Q} \\
\mathbb{R}^{1} \quad \mathbb{R}^{2}
\end{array}$$
(II)

The present invention also relates to compositions comprising a compound having a structure as in Formula (I):

wherein:

30

1

 R^1 and R^2 can be the same or different and each can be hydrogen, alkyl, or aryl, provided that when one of R1 and R2 is hydrogen, the other is alkyl or aryl;

 R^3 is a protecting group;

R⁴ is a protecting group that can be removed in the presence 50 of an acid; and

 R^5 , R^6 , R^7 , and R^8 can be the same or different and each can be hydrogen, alkyl, or aryl.

The present invention also relates to compositions comprising a compound having a structure as in Formula (II):

$$\begin{array}{c}
\mathbb{R}^7 \quad \mathbb{R}^8 \\
\mathbb{R}^5 \quad \mathbb{R}^6 \quad \mathbb{R}^7 \quad \mathbb{R}^8 \\
\mathbb{R}^1 \quad \mathbb{R}^2
\end{array}$$
(II)

wherein:

 R^1 and R^2 can be the same or different and each can be hydrogen, alkyl, or aryl, provided that when one of R^1 and R^2 is hydrogen, the other is alkyl or aryl; and

R⁵, R⁶, R⁷, and R⁸ can be the same or different and each can be hydrogen, alkyl, or aryl.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1A shows the preparation of compound 2, a TBS-protected dihydroxyacetone.

FIG. 1B shows the synthesis of the ent-Shi ketone.

FIG. 2 shows a proposed mechanism for synthesis of an intermediate compound in the synthesis of the ent-Shi ketone. 15

Other aspects, embodiments and features of the invention will become apparent from the following detailed description when considered in conjunction with the accompanying drawings. The accompanying figures are schematic and are not intended to be drawn to scale. For purposes of clarity, not 20 every component is labeled in every figure, nor is every component of each embodiment of the invention shown where illustration is not necessary to allow those of ordinary skill in the art to understand the invention. All patent applications and patents incorporated herein by reference are incorporated by 25 reference in their entirety. In case of conflict, the present specification, including definitions, will control.

DETAILED DESCRIPTION

The present invention generally relates to methods for the synthesis of catalysts, including epoxidation catalysts, and related compounds and catalyst compositions. Some embodiments provide methods for synthesizing large amounts (e.g., greater than 10 grams) of a catalyst composition that may otherwise be difficult to obtain using known methods. In some embodiments, compounds and intermediates useful in the synthesis of catalysts are provided. Embodiments described herein may provide efficient processes for providing catalysts (e.g., epoxidation catalysts) in large quantities and using simplified methods.

Methods for synthesizing the compounds and catalyst compositions described herein are provided. In some cases, the method may comprise reacting an intermediate molecule 45 to produce a compound or catalyst, as described herein. In some cases, the intermediate molecule may be a compound comprising at least one ketal group and/or at least one protecting group. Other intermediate molecules are described herein, including the Examples. Methods described herein 50 may involve one or more chemical transformations, which may be performed sequentially and/or simultaneously. For example, the method may comprise reacting a compound in the presence of a chemical reagent (e.g., acid), wherein multiple chemical transformations may occur in a single reaction step. Those of ordinary skill in the art would be able to select the appropriate set(s) of reaction conditions (e.g., concentration, temperature, pressure, reaction time, solvents, etc.) suitable for use in a particular application. In some cases, the method may further comprise isolating and/or purifying the compound and/or catalyst, for example, by chromatography (e.g., column chromatography, HPLC), crystallization, precipitation, distillation, filtration, solvent extraction, and the like. The method may also comprise characterization of the 65 compound and/or catalyst by mass spectrometry, NMR, and the like.

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Some embodiments relate to the synthesis of the following compound,

also known as the "ent-Shi ketone" catalyst, which is the enantiomer of a commercially available catalyst known as "Shi ketone." The ent-Shi ketone catalyst has been shown to be an effective catalyst for enantioselective epoxidation reactions. In some embodiments, methods for synthesizing the ent-Shi ketone catalyst, as described herein, may include fewer synthetic steps and may produce the ent-Shi ketone catalyst in higher yields, relative to known methods. In some cases, the ent-Shi ketone catalyst may advantageously be produced in relatively large amounts, when performing a single iteration of a synthetic scheme. For example, carrying out the synthetic scheme shown in FIG. 1B one time may produce the ent-Shi ketone catalyst in relatively large quantities (e.g., greater than 10 grams). In some embodiments, the method may produce a catalyst (e.g., the ent-Shi catalyst) in an amount of 10 grams or greater, 20 grams or greater, 30 grams or greater, 40 grams or greater, 50 grams or greater, 60 grams or greater, 70 grams or greater, 80 grams or greater, 90 grams or greater, 100 grams or greater, 150 grams or greater, or, in some cases, 200 grams or greater, based upon a single run of a particular synthetic scheme. In one set of embodiments, the ent-Shi ketone catalyst may be produced in quantities ranging from about 50 grams to about 60 grams, based upon a single run of a particular synthetic scheme.

Some embodiments involve reacting a compound of Formula (I),

wherein:

 R^1 and R^2 can be the same or different and each can be hydrogen, alkyl, or aryl, provided that when one of R^1 and R^2 is hydrogen, the other is alkyl or aryl;

R³ is a protecting group;

R⁴ is a protecting group that can be removed in the presence of an acid; and

 R^5 , R^6 , R^7 , and R^8 can be the same or different and each can be hydrogen, alkyl, or aryl, to produce a compound of Formula (II),

$$\mathbb{R}^{5}$$
 \mathbb{R}^{6}
 \mathbb{R}^{7}
 \mathbb{R}^{8}
 \mathbb{R}^{1}
 \mathbb{R}^{1}
 \mathbb{R}^{1}
 \mathbb{R}^{1}
 \mathbb{R}^{2}
 \mathbb{R}^{3}
 \mathbb{R}^{4}
 \mathbb{R}^{5}
 \mathbb{R}^{6}
 \mathbb{R}^{7}
 \mathbb{R}^{8}

In some embodiments, R^1 and R^2 are alkyl; and R^5 , R^6 , R^7 , and R^8 are each hydrogen.

In one set of embodiments, the compound of Formula (I) has the structure,

In one set of embodiments, the compound of Formula (II) has the structure.

In some embodiments, the compound of Formula (I) may include at least one protecting group. For example, R³ and R⁴ may each comprise a silicon-containing protecting group. In some embodiments, the protecting group is trimethylsilyl (TMS), tributylsilyl (TBS), tert-butyldiphenylsilyl (TBDPS), tert-butyldimethylsilyl (TBDMS), triisopropylsilyl (TIPS), [2-(trimethylsilyl)ethoxy]methyl (SEM), trimethylsilyl triflate, triethylsilyl triflate, or tri-t-butylsilyl triflate. In one set of embodiments, the protecting group is tributylsilyl (TBS). In some cases, the protecting group may be capable of being removed in the presence of an acid.

The phrase "protecting group" as used herein refers to temporary substituents which protect a potentially reactive 55 functional group from undesired chemical transformations. Examples of such protecting groups include esters of carboxylic acids, silyl ethers of alcohols, and acetals and ketals of aldehydes and ketones, respectively. The field of protecting group chemistry has been reviewed (Greene, T. W.; Wuts, P. 60 G. M. *Protective Groups in Organic Synthesis*, 2nd ed.; Wiley: New York, 1991).

In some embodiments, conversion of a compound of Formula (I) to a compound of Formula (II) may be performed in a one-pot reaction. The term "one-pot" reaction is known in 65 the art and refers to a chemical reaction which can produce a product in one step which may otherwise have required a

multiple-step synthesis. One-pot procedures may eliminate the need for isolation (e.g., purification) of intermediates and additional synthetic steps while reducing the production of waste materials (e.g., solvents, impurities). Additionally, the time and cost required to synthesize such compounds can be reduced. For example, one or more chemical transformations may occur in a single reaction vessel to convert of a compound of Formula (I) to a compound of Formula (II), as described more fully below.

In some embodiments, the method may involve exposure of a compound of Formula (I) to an acid. As used herein, an "acid" refers to any species capable of acting as a source of at least one proton. Examples of acids include, but are not limited to, hydrochloric acid, hydrobromic acid, hydrofluoric acid, hydroiodic acid, hypochloric acid, chloric acid, perchloric acid, periodic acid, sulfuric acid, fluorosulfuric acid, nitric acid, phosphoric acid, hexafluorophosphoric acid, chromic acid, methanesulfonic acid, ethanesulfonic acid, benzenesulfonic acid, p-toluenesulfonic acid, trifluoromethanesulfonic acid, nitric acid, acetic acid, citric acid, formic acid, and the like. In some embodiments, the acid may be perchloric acid (HClO₄).

The acid may be provided as an aqueous solution comprising at least 1%, at least 10%, at least 20%, at least 30%, at least 25 40%, at least 50%, at least 60%, at least 70%, at least 80%, or, in some cases, at least 90% acid. In some embodiments, the acid may be added to the reaction mixture as a solution comprising about 50% to about 80% acid, about 60% to about 80% acid, or, about 70% to about 80% acid. In some embodiments, the acid may be added to the reaction mixture as a solution comprising 70% acid.

The compound of Formula (I) may be exposed to the acid under reaction conditions suitable for use in a particular application. In some embodiments, the reaction mixture may 55 be maintained at any temperature from about -78° C. to about 200° C. In some embodiments, the acid may be added to the reaction mixture at relatively lower temperatures. For example, the reaction mixture may be maintained at any temperature from about -78° C. to about room temperature.

40 In some embodiments, the reaction mixture may be maintained at about room temperature or lower, at about 15° C. or lower, at about 15° C. or lower, at about 10° C. or lower. In one set of embodiments, the reaction mixture may be maintained at about 0° C.

Exposure of a compound of Formula (I) to an acid may result in one or more chemical transformations, including addition of a nucleophile to a carbonyl, removal/addition of protecting group(s), isomerization of protecting group(s), and the like. For example, exposing compound 4 to perchloric acid results in transformation of compound 4 to compound 5. (FIG. 1B) Without wishing to be bound by theory, FIG. 2 shows a proposed mechanism for conversion of compound 4 to compound 5, involving multiple chemical transformations upon exposure to compound 4 to an acid. In some cases, the compound may undergo acid-catalyzed isomerization, such that a protecting group (e.g., cyclic ketal) may moved to a different portion of the compound, as shown in step (a) of FIG. 2. For example, the cyclic ketal of compound 4 may be fully removed from compound 4 upon exposure to acid, and a new cyclic ketal may be formed at a different location of compound 4. Alternatively, the cyclic ketal in compound 4 may be partially removed from compound 4 (e.g., only one carbon-oxygen bond of the cyclic ketal may be cleaved) and may migrate to a different location of compound 4, upon exposure to acid. Upon isomerization of the cyclic ketal, the compound may then cyclize via addition of hydroxyl group to the carbonyl, as shown in step (b) of FIG. 2. Subsequent

removal and/or addition of protecting groups at various locations may then produce compound 5, as shown in steps (c)-(e) of FIG. 2.

In some cases, the method may involve the subsequent step of neutralizing the acid. This may be performed, for example, by exposing the reaction mixture to a base. Bases suitable for use in the invention include those having sufficient pKa values to neutralize (e.g., deprotonate) an acidic reagent present within the reaction mixture. In general, a variety of bases may be used in practice of the present invention. Examples of bases include, but are not limited to, alkoxides such as sodium t-butoxide, an alkali metal amide such as sodium amide, lithium diisopropylamide or an alkali metal bis(trialkylsilyl) amides such as lithium bis(trimethylsilyl)amide or sodium bis(trimethylsilyl)amide, a tertiary amine (e.g. triethylamine, trimethylamine, Et(i-Pr)₂N, Cy₂MeN, 4-(dimethylamino)pyridine (DMAP), 2,6-lutadine, N-methylpyrrolidine (NMP), quinuclidine, and the like), 1,5-diazabicycl[4.3.0]non-5-ene (DBN), 1,5-diazabicyclo[5.4.0]undec-5-ene (DBU), ammonium salts (e.g., ammonium hydroxide), alkali and alkaline earth carbonates, alkali and alkaline earth bicarbonates, alkali and alkaline earth hydroxides, alkali and alkaline earth hydrides, (e.g. NaH, LiH, KH, K₂CO₃, Na₂CO₃, Tl₂CO₃, 25 Cs₂CO₃, K(Ot-Bu), Li(Ot-Bu), Na(Ot-Bu) K(OPh), Na(OPh)), and the like. In some embodiments, a solution of ammonium hydroxide may be added to the reaction mixture to neutralize, for example, HClO₄.

Other suitable bases include quinoline, optionally substituted with alkyl or aryl groups, isoquinoline, optionally substituted with alkyl or aryl groups, imidazole, optionally substituted with alkyl or aryl groups, thiazole, optionally substituted with alkyl or aryl groups, and oxazole, optionally substituted with alkyl or aryl groups. In the above compounds, preferred alkyl substituents may be C_{1-5} alkyl groups and preferred aryl substituents may be C_{6-20} aryl groups, such as phenyl, substituted phenyl, naphthyl, phenanthryl, and the like. The base may be added in solid form, or as a solution comprising a base (e.g., an aqueous solution). For example, the acid may be neutralized by exposure to an ammonium hydroxide solution.

In some cases, the method may comprise a protection or 45 deprotection reaction. For example, the compound of Formula (I) may be exposed to a protecting group precursor, which may interaction with a portion of the compound to produce a compound comprising a protecting group. In some cases, the compound of Formula (I) may comprise at least one protecting group and may be exposed to conditions under which the protecting group may be at least partially removed. In some embodiments, the protecting group may be removed from the compound. In some embodiments, the protecting group may be partially removed from the compound. For example, the protecting group may be attached to the compound via at least two bonds, and one of the bonds may be cleaved during a deprotection step. Some embodiments may involve migration of a protecting group from one location of the compound to another, different location of the compound.

In some cases the protection or deprotection reaction may be performed in the absence of additional chemical transformations. In some embodiments, the protection or deprotection reaction may be performed simultaneously with another 65 chemical transformation. For example, a compound of Formula (I) may be exposed to an acid and a protecting group

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precursor at the same time, and may undergo both removal/addition of a protecting group and addition of a nucleophile to a carbonyl.

Some embodiments involve exposure of a compound of Formula (I) to a species capable of forming an acetal or a ketal as a protecting group. As used herein, the terms "acetal" and "ketal" are given their ordinary meaning in the art and refer to carbonyl-protecting groups having the formula,

$$\mathbb{R}^{a}$$
O \mathbb{R}^{b}

wherein R^a , R^b , and R^c are carbon-containing groups, R^d is hydrogen in the case of an acetal, and R^d is a carbon-containing group in the case of a ketal. In some cases, the species may be capable of forming a cyclic acetal or a cyclic ketal, i.e., where R^a and R^b are joined together to form a ring. In some embodiments, acetals may be produced by reacting an aldehyde species with an alcohol. In some embodiments, ketals may be produced by reacting a ketone species with an alcohol

In some embodiments, the compound of Formula (I) may comprise at least one hydroxyl group capable of forming an acetal or a ketal upon exposure to an aldehyde species or a ketone species. For example, the compound may comprise two hydroxyl groups, each attached to adjacent atoms of the compound (e.g., a vicinal diol), which may react with a ketone species to form a cyclic ketal. In some embodiments, the ketone species may be acetone. As described herein, some embodiments may involve acid-catalyzed isomerization of a cyclic ketal.

In some embodiments, the compound of Formula (I) may be exposed to conditions suitable to remove one or more protecting groups. For example, the compound may comprise a silicon-containing protecting group and may be exposed to a fluoride-containing species capable of removing the silicon-containing protecting group from the compound. Examples of fluoride-containing species include, but are not limited to, sodium fluoride (NaF) and tetra-n-butylammonium fluoride (TBAF). In some cases, the compound may comprise a TBS group, which may then be removed upon exposure to, for example, TBAF.

In one set of embodiments, the act of reacting a compound of Formula (I) comprises (i) exposure to $\mathrm{HClO_4}$ and acetone; (ii) exposure to ammonium hydroxide solution; and (iii) exposure to tetra-n-butylammonium fluoride (TBAF).

The method may further comprise exposing the compound of Formula (II) to an oxidant. As used herein, the terms "oxidant" or "oxidizing agent" are given their ordinary meaning in the art and refer to a species capable of oxidizing or increasing the oxidation number of a substrate. In some embodiments, the oxidant may be comprise a transition metal. For example, the oxidant may comprise ruthenium. Examples of oxidants include halogens (e.g., iodine), peroxides, sulfoxides, ozone, osmium tetroxide, ruthenium(III) trichloride, chlorite, chlorate, perchlorate, hexavalent chromium compounds, nitric acid, nitrous oxide, permanganate salts, and the like.

In one set of embodiments, the compound of Formula (II) is oxidized to produce the ent-Shi catalyst, i.e., a compound having the structure,

In some embodiments, the method may further comprising synthesizing a compound of Formula (I). In some embodinents, the compound may be synthesized via an aldol reaction. In some embodiments, the compound may be synthesized via an asymmetric aldol reaction. The method may involve performing an aldol reaction between an aldehyde species having the formula,

$$\mathbb{R}^{5}$$
 \mathbb{R}^{6} \mathbb{Q} \mathbb{R}^{1} \mathbb{R}^{2}

wherein R^1 and R^2 can be the same or different and each can be hydrogen, alkyl, or aryl, provided that when one of R^1 and R^2 is hydrogen, the other is alkyl or aryl; and R^5 and R^6 can be the same or different and each can be hydrogen, alkyl, or aryl, and a carbonyl species having the formula,

$$\bigcap_{OR^3} \bigcap_{OR^4}^{R^7} R^8$$

wherein R³ is a protecting group;

R⁴ is a protecting group that can be removed in the presence of an acid; and

 R^7 and R^8 can be the same or different and each can be hydrogen, alkyl, or aryl.

In some embodiments, the aldehyde species has the following structure,

In some embodiments, the carbonyl species has the following structure,

The aldol reaction may be performed under conditions suitable for use in a particular application. In some embodiments, the aldol reaction may be performed in the presence of one or more asymmetric (e.g. chiral) species capable of enhancing the formation of a particular desired product. For example, the aldol reaction may be performed in the presence of a chiral catalyst, chiral auxiliary, and/or chiral substrates. Those of ordinary skill in the art would be able to select the appropriate chiral species to produce a particular, desired product (e.g., syn aldol product, anti aldol product). In some embodiments, a syn aldol product may be the desired product. In some embodiments, an anti aldol product may be the desired product. In an illustrative embodiment, an asymmetric aldol reaction may be performed in the presence of O-tert-butyl-L-threonine.

The aldol reaction may be performed in the presence of one or more solvents, including organic solvents, aqueous solvents, and combinations thereof. In some embodiments, the solvent may be a polar aprotic solvent. Examples of polar aprotic solvents include N-methylpyrrolidinone (NMP), dimethylformamide (DMF), dimethylacetamide, and dimethyl sulfoxide, and the like.

In some embodiments, the aldol reaction mixture may be maintained at any temperature from about -78° C. to about 200° C. For example, the aldol reaction mixture may be maintained at any temperature from about -78° C. to about 50° C. In some embodiments, the aldol reaction mixture may be maintained at about 50° C. or lower, at about 30° C. or lower, at about 30° C. or lower, at about 30° C. or lower, or, at about 30° C. or lower. In one set of embodiments, the reaction mixture may be maintained at about room temperature.

Upon reaction, the contrast agent may be subjected to one or more purification steps. Purification and isolation may be performed using methods known to those skilled in the art, including separation techniques like chromatography, or combinations of various separation techniques as are known
 the art. In one embodiment, high performance liquid chromatography (HPLC) may be used with a solvent, or mixture of solvents, as the eluent, to recover the product. In some cases, the eluent may include a mixture of water and acetonitrile, such as a 45:55 water:acetonitrile mixture. The content of water may vary from, for example, about 1% to about 50%. In some cases, HPLC may be performed using a C18 column

Any of the compounds or intermediates described herein product may be further processed using one or more purification techniques. Purification and isolation may be performed using methods known to those skilled in the art, including separation techniques like chromatography, recrystallization, distillation, or combinations of various separation techniques as are known the art. In some cases, the compound or intermediate may be purified using column chromatography. Any solvent, or mixture of solvents, may be uses the eluent to recover the product. In some cases, the eluent may include hexane, ethyl acetate, and/or a mixture of hexane and ethyl acetate, such as a 1:1 hexane:ethyl acetate mixture, a 2:1 hexane:ethyl acetate mixture, a 20:1 hexane:ethyl acetate mixture, or the like.

In some embodiments, a compound or intermediate may be purified using recrystallization, a process which may be repeated until desired purity of product is obtained. In one embodiment, the compound or intermediate is recrystallized at least once, two times, three times, or four or more times to achieve the desired level of purity. For example, the compound or intermediate may be obtained at purities of greater than or equal to 50%, 80%, 85%, 90%, 95%, 97%, 98%, 98.5%, 99.8%. Recrystallization may be achieved using a single solvent, or a combination of solvents. In some cases, recrystallization is achieved by dissolving the compound or intermediate in a solvent such as hexane at elevated temperatures, and then cooling the solution to produce a precipitate. For example, the compound may be recrystallized from hexane

FIG. 1B shows an illustrative embodiment, wherein ent-Shi ketone 6 (the ent-Shi ketone catalyst) is synthesized from L-ascorbic acid. As shown in FIG. 1B, L-ascorbic acid may be converted to (S)-glyceraldehyde 3, using the methods described in U.S. Publication No. 2007/0073068, the contents of which are incorporated herein by reference in its 20 entirety for all purposes. An asymmetric aldol reaction may then be performed between (S)-glyceraldehyde 3 and compound 2, in the presence of O-tert-butyl-L-threonine and NMP, to produce aldol compound 4. Compound 4 may then be reacted as described herein. In one set of embodiments, the $\,^{25}$ act of reacting compound 4 comprises (i) exposure to HClO₄ and acetone; (ii) exposure to ammonium hydroxide solution; and (iii) exposure to tetra-n-butylammonium fluoride (TBAF), to produce compound 5, which may then be oxidized to produce ent-Shi ketone 6.

In some embodiments, the ent-Shi ketone catalyst may be synthesized from (S)-glyceraldehyde in three steps with an overall yield of at least 10%, at least 20%, or at least 30%. In some cases, the ent-Shi ketone catalyst may be synthesized from (S)-glyceraldehyde in three steps with an overall yield of about 35%. In some embodiments, the ent-Shi ketone catalyst may be synthesized from L-ascorbic acid in six steps with an overall yield of at least 5%, at least 10%, or at least 13%. In some cases, the ent-Shi ketone catalyst may be synthesized from L-ascorbic acid in six steps with an overall yield of about 13.2%.

Some embodiments provide novel compounds or intermediates. In some case, compositions are provided comprising a compound having a structure as in Formula (I):

wherein:

 R^1 and R^2 can be the same or different and each can be hydrogen, alkyl, or aryl, provided that when one of R^1 and R^2 is hydrogen, the other is alkyl or aryl;

R³ is a protecting group;

 R^4 is a protecting group that can be removed in the presence of an acid; and

 R^5 , R^6 , R^7 , and R^8 can be the same or different and each can be hydrogen, alkyl, or aryl.

In some embodiments, the compound has the following structure,

In some embodiments, R³ and R⁴ each comprise a siliconcontaining protecting group, such as trimethylsilyl (TMS), tributylsilyl (TBS), tert-butyldiphenylsilyl (TBDPS), tert-butyldimethylsilyl (TBDMS) triisopropylsilyl (TIPS), [2-(trimethylsilyl)ethoxy]methyl (SEM), trimethylsilyl triflate, triethylsilyl triflate, or tri-t-butylsilyl triflate.

In some embodiments, the compound of Formula (I) has the structure,

In other embodiments, compositions comprising a compound having a structure as in Formula (II):

wherein:

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 R^1 and R^2 can be the same or different and each can be hydrogen, alkyl, or aryl, provided that when one of R^1 and R^2 is hydrogen, the other is alkyl or aryl; and

 R^5 , R^6 , R^7 , and R^8 can be the same or different and each can be hydrogen, alkyl, or aryl, are provided.

In one set of embodiments, the compound has the following structure,

Suitable silicon compounds or silicon-containing compounds which may be used in methods of the invention may include those which are capable of forming a covalent bond

with an oxygen atom. For example, the silicon-containing compound may form a covalent bond with an oxygen atom to form a silyl ether. In some embodiments, the silicon compound has the formula R³SiX, wherein R³ can be optionally substituted alkyl, optionally substituted cycloalkyl, optionally substituted aryl, optionally substituted heteroaryl, and X can be halide, triflate, or the like. In some embodiments, the silicon compound is trimethylsilyl (TMS), tributylsilyl (TBS), tert-butyldiphenylsilyl (TBDPS), tert-butyldimethylsilyl (TBDMS) triisopropylsilyl (TIPS), [2-(trimethylsilyl) tethoxy]methyl (SEM), trimethylsilyl triflate, triethylsilyl triflate, or tri-t-butylsilyl triflate. In some embodiments, the

Solvents which may be used in methods of the invention include inert solvents such as benzene, p-cresol, toluene, xylene, diethyl ether, glycol monomethyl or dimethyl ether, 15 petroleum ether, hexane, cyclohexane, methylene chloride, chloroform, carbon tetrachloride, dioxane, tetrahydrofuran (THF), dimethyl sulfoxide, dimethylformamide, hexamethyl-phosphoric triamide, ethyl acetate, pyridine, triethylamine, picoline, mixtures thereof, or the like. Preferred solvents may include benzene, toluene, xylene, ether, hexane, petroleum ether, methylene chloride, chloroform, or tetrahydrofuran. In a particular embodiment, toluene is the preferred solvent.

The products which may be produced by methods of the 25 present invention may undergo further reaction(s) to afford desired derivatives thereof. Such permissible derivatization reactions can be carried out in accordance with conventional procedures known in the art. For example, potential derivatization reactions include cleavage of the oxygen-silicon bond of a silyl ether of an allylic alcohol to afford the allylic alcohol. Suitable reagents which can cleave an oxygen-silicon bond to deprotect an alcohol are known, such as tetrabutylammonium fluoride (TBAF), for example.

As used herein, the term "reacting" refers to the forming of 35 a bond between two or more components to produce a stable, isolable compound. For example, a first component and a second component may react to form one reaction product comprising the first component and the second component joined by a covalent bond. That is, the term "reacting" does 40 not refer to the interaction of solvents, catalysts, bases, ligands, or other materials which may serve to promote the occurrence of the reaction with the component(s). A "stable, isolable compound" refers to isolated reaction products and does not refer to unstable intermediates or transition states.

In the compounds and compositions of the invention, the term "alkyl" refers to the radical of saturated aliphatic groups. including straight-chain alkyl groups, branched-chain alkyl groups, cycloalkyl (alicyclic) groups, alkyl substituted cycloalkyl groups, and cycloalkyl substituted alkyl groups. In $\,\,$ 50 some embodiments, a straight chain or branched chain alkyl may have 30 or fewer carbon atoms in its backbone, and, in some cases, 20 or fewer. In some embodiments, a straight chain or branched chain alkyl may have 12 or fewer carbon atoms in its backbone (e.g., C_1 - C_{12} for straight chain, C_3 - C_{12} 55 for branched chain), 6 or fewer, or 4 or fewer. Likewise, cycloalkyls may have from 3-10 carbon atoms in their ring structure, or 5, 6 or 7 carbons in the ring structure. Examples of alkyl groups include, but are not limited to, methyl, ethyl, propyl, isopropyl, cyclopropyl, butyl, isobutyl, tert-butyl, 60 cyclobutyl, hexyl, cyclochexyl, and the like.

The term "heteroalkyl" refers to an alkyl group as described herein in which one or more carbon atoms is replaced by a heteroatom. Suitable heteroatoms include oxygen, sulfur, nitrogen, phosphorus, and the like. Examples of 65 heteroalkyl groups include, but are not limited to, alkoxy, amino, thioester, and the like.

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The terms "alkenyl" and "alkynyl" refer to unsaturated aliphatic groups analogous in length and possible substitution to the alkyls described above, but that contain at least one double or triple bond respectively.

The terms "heteroalkenyl" and "heteroalkynyl" refer to unsaturated aliphatic groups analogous in length and possible substitution to the heteroalkyls described above, but that contain at least one double or triple bond respectively.

As used herein, the term "halogen" or "halide" designates —F, —Cl, —Br, or —I.

The terms "carboxyl group," "carbonyl group," and "acyl group" are recognized in the art and can include such moieties as can be represented by the general formula:



wherein W is H, OH, O-alkyl, O-alkenyl, or a salt thereof. Where W is O-alkyl, the formula represents an "ester." Where W is OH, the formula represents a "carboxylic acid." The term "carboxylate" refers to an anionic carboxyl group. In general, where the oxygen atom of the above formula is replaced by sulfur, the formula represents a "thiolcarbonyl" group. Where W is a S-alkyl, the formula represents a "thiolester." Where W is SH, the formula represents a "thiolcarboxylic acid." On the other hand, where W is alkyl, heteroalkyl, aryl, or heteroaryl, the above formula represents a "ketone" group. Where W is hydrogen, the above formula represents an "aldehyde" group.

The term "aryl" refers to aromatic carbocyclic groups, optionally substituted, having a single ring (e.g., phenyl), multiple rings (e.g., biphenyl), or multiple fused rings in which at least one is aromatic (e.g., 1,2,3,4-tetrahydronaphthyl, naphthyl, anthryl, or phenanthryl). That is, at least one ring may have a conjugated pi electron system, while other, adjoining rings can be cycloalkyls, cycloalkenyls, cycloalkynyls, aryls and/or heterocyclyls. The aryl group may be optionally substituted, as described herein. "Carbocyclic aryl groups" refer to aryl groups wherein the ring atoms on the aromatic ring are carbon atoms. Carbocyclic aryl groups include monocyclic carbocyclic aryl groups and polycyclic or fused compounds (e.g., two or more adjacent ring atoms are common to two adjoining rings) such as naphthyl groups. In some cases, the

The terms "heteroaryl" refers to aryl groups comprising at least one heteroatom as a ring atom.

The term "heterocycle" refers to refer to cyclic groups containing at least one heteroatom as a ring atom, in some cases, 1 to 3 heteroatoms as ring atoms, with the remainder of the ring atoms being carbon atoms. Suitable heteroatoms include oxygen, sulfur, nitrogen, phosphorus, and the like. In some cases, the heterocycle may be 3- to 10-membered ring structures or 3- to 7-membered rings, whose ring structures include one to four heteroatoms. The term "heterocycle" may include heteroaryl groups, saturated heterocycles (e.g., cycloheteroalkyl) groups, or combinations thereof. The heterocycle may be a saturated molecule, or may comprise one or more double bonds. In some case, the heterocycle is a nitrogen heterocycle, wherein at least one ring comprises at least one nitrogen ring atom. The heterocycles may be fused to other rings to form a polycylic heterocycle. The heterocycle may also be fused to a spirocyclic group. In some cases, the heterocycle may be attached to a compound via a nitrogen or a carbon atom in the ring.

Heterocycles include, for example, thiophene, benzothiophene, thianthrene, furan, tetrahydrofuran, pyran, isobenzofuran, chromene, xanthene, phenoxathiin, pyrrole, dihydropyrrole, pyrrolidine, imidazole, pyrazole, pyrazine, isothiazole, isoxazole, pyridine, pyrazine, pyrimidine, 5 pyridazine, indolizine, isoindole, indole, indazole, purine, quinolizine, isoquinoline, quinoline, phthalazine, naphthyridine, quinoxaline, quinazoline, cinnoline, pteridine, carbazole, carboline, triazole, tetrazole, oxazole, isoxazole, thiazole, isothiazole, phenanthridine, acridine, pyrimidine, 10 phenanthroline, phenazine, phenarsazine, phenothiazine, furazan, phenoxazine, pyrrolidine, oxolane, thiolane, oxazole, oxazine, piperidine, homopiperidine (hexamnethyleneimine), piperazine (e.g., N-methyl piperazine), morpholine, lactones, lactams such as azetidinones and pyrrolidino- 15 nes, sultams, sultones, other saturated and/or unsaturated derivatives thereof, and the like. The heterocyclic ring can be optionally substituted at one or more positions with such substituents as described herein. In some cases, the heterocycle may be bonded to a compound via a heteroatom ring 20 atom (e.g., nitrogen). In some cases, the heterocycle may be bonded to a compound via a carbon ring atom. In some cases, the heterocycle is pyridine, imidazole, pyrazine, pyrimidine, pyridazine, acridine, acridin-9-amine, bipyridine, naphthyridine, quinoline, benzoquinoline, benzoisoquinoline, phenan- 25 thridine-1,9-diamine, or the like.

The terms "amine" and "amino" are art-recognized and refer to both unsubstituted and substituted amines, e.g., a moiety that can be represented by the general formula: N(R') (R")(R"") wherein R', R", and R" each independently repre- 30 sent a group permitted by the rules of valence. An example of a substituted amine is benzylamine.

Any of the above groups may be optionally substituted. As used herein, the term "substituted" is contemplated to include all permissible substituents of organic compounds, "permis-35 sible" being in the context of the chemical rules of valence known to those of ordinary skill in the art. It will be understood that "substituted" also includes that the substitution results in a stable compound, e.g., which does not spontanecyclization, elimination, etc. In some cases, "substituted" may generally refer to replacement of a hydrogen with a substituent as described herein. However, "substituted," as used herein, does not encompass replacement and/or alteration of a key functional group by which a molecule is iden- 45 tified, e.g., such that the "substituted" functional group becomes, through substitution, a different functional group. For example, a "substituted phenyl group" must still comprise the phenyl moiety and can not be modified by substitution, in this definition, to become, e.g., a pyridine ring. In a 50 broad aspect, the permissible substituents include acyclic and cyclic, branched and unbranched, carbocyclic and heterocyclic, aromatic and nonaromatic substituents of organic compounds. Illustrative substituents include, for example, those described herein. The permissible substituents can be one or 55 more and the same or different for appropriate organic compounds. For purposes of this invention, the heteroatoms such as nitrogen may have hydrogen substituents and/or any permissible substituents of organic compounds described herein which satisfy the valencies of the heteroatoms.

Examples of substituents include, but are not limited to, halogen, azide, alkyl, aralkyl, alkenyl, alkynyl, cycloalkyl, hydroxyl, alkoxyl, amino, nitro, sulfhydryl, imino, amido, phosphonate, phosphinate, carbonyl, carboxyl, silyl, ether, alkylthio, sulfonyl, sulfonamido, ketone, aldehyde, ester, het- 65 erocyclyl, aromatic or heteroaromatic moieties, —CF₃, —CN, aryl, aryloxy, perhaloalkoxy, aralkoxy, heteroaryl,

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heteroaryloxy, heteroarylalkyl, heteroaralkoxy, azido, amino, halide, alkylthio, oxo, acylalkyl, carboxy esters, -carboxamido, acyloxy, aminoalkyl, alkylaminoaryl, alkylaryl, alkylaminoalkyl, alkoxyaryl, arylamino, aralkylamino, alkylsulfonyl, -carboxamidoalkylaryl, -carboxamidoaryl, hydroxyalkyl, haloalkyl, alkylaminoalkylcarboxy-, aminocarboxamidoalkyl-, cyano, alkoxyalkyl, perhaloalkyl, arylalkyloxyalkyl, and the like.

While several embodiments of the present invention have been described and illustrated herein, those of ordinary skill in the art will readily envision a variety of other means and/or structures for performing the functions and/or obtaining the results and/or one or more of the advantages described herein, and each of such variations and/or modifications is deemed to be within the scope of the present invention. More generally, those skilled in the art will readily appreciate that all parameters, dimensions, materials, and configurations described herein are meant to be exemplary and that the actual parameters, dimensions, materials, and/or configurations will depend upon the specific application or applications for which the teachings of the present invention is/are used. Those skilled in the art will recognize, or be able to ascertain using no more than routine experimentation, many equivalents to the specific embodiments of the invention described herein. It is, therefore, to be understood that the foregoing embodiments are presented by way of example only and that, within the scope of the appended claims and equivalents thereto, the invention may be practiced otherwise than as specifically described and claimed. The present invention is directed to each individual feature, system, article, material, kit, and/or method described herein. In addition, any combination of two or more such features, systems, articles, materials, kits, and/or methods, if such features, systems, articles, materials, kits, and/or methods are not mutually inconsistent, is included within the scope of the present invention.

The indefinite articles "a" and "an," as used herein in the specification and in the claims, unless clearly indicated to the contrary, should be understood to mean "at least one."

The phrase "and/or," as used herein in the specification and ously undergo transformation such as by rearrangement, 40 in the claims, should be understood to mean "either or both" of the elements so conjoined, i.e., elements that are conjunctively present in some cases and disjunctively present in other cases. Other elements may optionally be present other than the elements specifically identified by the "and/or" clause, whether related or unrelated to those elements specifically identified unless clearly indicated to the contrary. Thus, as a non-limiting example, a reference to "A and/or B," when used in conjunction with open-ended language such as "comprising" can refer, in one embodiment, to A without B (optionally including elements other than B); in another embodiment, to B without A (optionally including elements other than A); in yet another embodiment, to both A and B (optionally including other elements); etc.

As used herein in the specification and in the claims, "or" should be understood to have the same meaning as "and/or" as defined above. For example, when separating items in a list, "or" or "and/or" shall be interpreted as being inclusive, i.e., the inclusion of at least one, but also including more than one, of a number or list of elements, and, optionally, additional unlisted items. Only terms clearly indicated to the contrary, such as "only one of" or "exactly one of," or, when used in the claims, "consisting of," will refer to the inclusion of exactly one element of a number or list of elements. In general, the term "or" as used herein shall only be interpreted as indicating exclusive alternatives (i.e. "one or the other but not both") when preceded by terms of exclusivity, such as "either," "one of," "only one of," or "exactly one of." "Consisting essentially of," when used in the claims, shall have its ordinary meaning as used in the field of patent law.

As used herein in the specification and in the claims, the phrase "at least one," in reference to a list of one or more elements, should be understood to mean at least one element selected from any one or more of the elements in the list of elements, but not necessarily including at least one of each and every element specifically listed within the list of elements and not excluding any combinations of elements in the list of elements. This definition also allows that elements may optionally be present other than the elements specifically identified within the list of elements to which the phrase "at least one" refers, whether related or unrelated to those elements specifically identified. Thus, as a non-limiting 15 example, "at least one of A and B" (or, equivalently, "at least one of A or B," or, equivalently "at least one of A and/or B") can refer, in one embodiment, to at least one, optionally including more than one, A, with no B present (and optionally including elements other than B); in another embodiment, to 20 at least one, optionally including more than one, B, with no A present (and optionally including elements other than A); in yet another embodiment, to at least one, optionally including more than one, A, and at least one, optionally including more than one, B (and optionally including other elements); etc.

In the claims, as well as in the specification above, all transitional phrases such as "comprising," "including," "carrying," "having," "containing," "involving," "holding," and the like are to be understood to be open-ended, i.e., to mean 30 including but not limited to. Only the transitional phrases "consisting of" and "consisting essentially of" shall be closed or semi-closed transitional phrases, respectively, as set forth in the United States Patent Office Manual of Patent Examining Procedures, Section 2111.03.

EXAMPLES

Example 1

The following example describes a procedure for the TBSprotection of dihydroxyacetone (Preparation of Compound 2), as described in Sodeoka et al., J. Am. Chem. Soc. 1990, 112, 4906, the contents of which are incorporated herein by reference. To a solution of dihydroxyacetone 1 (250.00 g, 2.78 mol) and imidazole (472.34 g, 6.94 mol; 2.5 equiv) in DMF (1250 mL) TBS-Cl (1045.70 g, 6.94 mol; 2.5 equiv) was added over about 40 min between 0 to 30° C. Then, the mixture was stirred at room temperature until dihydroxyacetone was disappeared (typical reaction time is 4 to 5 hours. Reaction progress was monitored by TLC). After completion of the reaction, ice-water (1500 mL) was added to the reaction mixture and extracted with ethyl acetate (1500 mL). The aqueous layer was separated and organic layer was washed with water (1500 mL), then 20% NaCl aq. (1500 mL). The organic layer was evaporated to dryness and the residue was

Example 2

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Compound 4 was synthesized according to the procedure described in Barbas et al., Org. Lett. 2007, 9, 3445, with slight modifications for large scale synthesis. A solution of (S)glyceraldehyde 3 was prepared according to method described in U.S. Publication No. 2007/0073068, and used without purification. The solution of (S)-glyceraldehyde 3 (100 g (assumed net quantity), 768.4 mmol) and 2 (489.63 g, 1536.8 mmol; 2.0 equiv) in N-methylpyrolidone (142.5 mL) and water (7.5 mL), O-tert-butyl-L-threonine (26.93 g, 153.7 mmol; 0.2 equiv) was stirred at room temperature until 3 was no longer detectable by TLC (reaction time was about 24-72 hours and yield was about 70-95%; however, this may vary due to the quality and/or solubility of catalyst). This reaction mixture was diluted with n-hexane (2000 mL) and washed with water (1000 mL), water (500 mL), water (500 mL), 0.1M HCl aq. (500 mL), 5% NaHCO₃ aq. (500 mL), and 10% NaCl aq. (500 mL). The organic layer was evaporated to dryness and the residue was purified by silica gel column chromatography (silica gel 2 kg; n-hexane/ethyl acetate=200/1 (4020 mL), 100/1 (4040 mL), 50/1 (4080 mL), 20/1 (4200 mL), 10/1 (4400 mL), 4/1 (5000 mL) to give 4 as colorless oil (258.62 g, 75% yield).

Excess TBS-protected dihydroxyacetone 2 was recovered as colorless oil (282.00 g, 58% based on the initial amount), and can be re-used after distillation (90% yield).

Also, excess O-tert-butyl-L-threonine was also recovered by concentrating the 1st to 3rd aqueous layers and adding 2-PrOH (500 mL) to the residue. The mixture was stirred for 10 hours at room temperature, upon which a precipitate formed. The precipitate was filtered and washed with 2-PrOH to give O-tert-butyl-L-threonine as white powder (27.06 g, 53%).

The following example describes the synthesis of compound 5. To a solution of aldol product 4 (238.46 g, 531.4 mmol) in acetone (1900 mL) and water (2.3 mL) 70% HClO₄ (7.63 g, 53.14 mmol) was added at 0° C. and stirred at the same temperature for 1.5 hour. The reaction mixture was 30 quenched with 28% NH₄OH (7.4 mL, 53.14 mmol). A 1M tetrabutyl-ammonium fluoride in THF solution (1062.8 mL, 1062.8 mmol, 2 equiv) was then added, and the mixture was stirred for 9 hours at room temperature. After removal of solvent, the residue was diluted with ethyl acetate (2400 mL) 35 and washed with water (1200 mL) and 20% NaCl aq. (1200 mL). The aqueous layers were reextracted with ethyl acetate (2400 mL), and the combined organic layers were dried over anhydrous magnesium sulfate. The solvent was evaporated dryness and the remaining residue was purified by silica gel column chromatography (silica gel 1 kg; n-hexane/ethyl acetate=20/1 (4200 mL), 2/1 (3000 mL), 1/1 (4000 mL)) a to give white powder (130.15 g. 94.1%), which contained about 10-20% impurities. To this powder n-hexane (1440 mL) was added and refluxed until powder was dissolved. The solution was gradually cooled to 0° C., upon which a precipitate formed. The precipitate was filtered and washed with cold n-hexane (240 mL) to give ent-Shi alcohol 5 as white powder (87.07 g, 63%).

Example 4

Me Me

NaIO₄

$$RuCl_3$$
 H_2O

NaIO₄
 $BnEt_3NCl$
 K_2CO_3
 $CHCl_3$
 H_2O

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The following example describes the preparation of ent-Shi ketone 6. To a solution of Shi alcohol 5 (187.00 g, 718.5 mmol) in CHCl₃ (655 mL) and purified water (655 mL) RuCl₃.H₂O (4.47 g, 21.6 mmol, 3 mol %), NaIO₄ (230.51 g, 1077.7 mmol, 150 mol %), BnNEt₃Cl (8.18 g, 35.9 mmol, 5 mol %) and K₂CO₃ (14.89 g, 107.8 mmol, 15 mol %) were added and the mixture was refluxed for 1.5 hours with vigorous stirring. After starting material was disappeared, the mixture was cooled to room temperature. To this mixture 2-PrOH (47 mL) was added and stirred for 1 hour, then the mixture was filtered with celite and the residue was washed with CH₂Cl₂ (935 mL). The aqueous layer of the filtrate was removed and the organic layer was washed with 1M HCl aq. (560 mL), water (560 mL), 20% Na₂SO₃ aq. (560 mL), and 10% NaCl aq. (560 mL). The aqueous layers were reextracted with CH₂Cl₂ (560 mL). The combined organic layer was dried over anhydrous magnesium sulfate and concentrated to dryness. To the residue n-hexane (1580 mL) was added and the mixture was refluxed for 0.5 hours. Then the mixture was filtered and washed with hot n-hexane (300 mL). The filtrate was gradually cooled to -20° C. without stirring and stand for overnight. The precipitate was filtered and washed with cold n-hexane (370 mL) to give ent-Shi ketone 6 as a colorless needle (163.23 g, 88%).

What is claimed:

1. A compound of the Formula (I):

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R1 and R2 can be the same or different and each can be hydrogen, alkyl, or aryl, provided that when one of R¹ and R² is hydrogen, the other is alkyl or aryl;

R³ is a protecting group;

R⁴ is a protecting group that can be removed in the presence of an acid; and

R⁵, R⁶, R⁷, and R⁸ can be the same or different and each can be hydrogen, alkyl, or aryl,

wherein the protecting group is trimethylsilyl (TMS), tertbutyldiphenylsilyl (TBDPS), triisopropylsilyl (TIPS), [2-(trimethylsilyl)ethoxy]methyl (SEM), trimethylsilyl triflate, triethylsilyl triflate, or tri-t-butylsilyl triflate.

2. The compound of claim 1, wherein the compound has the following structure,

3. A compound of the Formula (II):

wherein:

 R^1 and R^2 can be the same or different and each can be hydrogen, alkyl, or aryl, provided that when one of R^1 and R^2 is hydrogen, the other is alkyl or aryl; and

 $R^5, R^6, R^7,$ and R^8 can be the same or different and each can be hydrogen, alkyl, or aryl.

4. The compound of claim 3, wherein the compound has $_{10}\;$ the following structure,

* * * * *